

**AMENDMENT TRANSMITTAL LETTER**Docket Number
AIM-203USApplication Number
09/996,576Filing Date
November 30, 2001First Named Inventor:
Yves Louis Gabriel AUDEBERTGroup Art Unit
3691Invention Title: **FINANCIAL RISK MANAGEMENT SYSTEM AND METHOD**Examiner
Clement B. Graham**TO THE COMMISSIONER FOR PATENTS**

Transmitted herewith is an amendment in the above-identified application, including:

- ☒ Request for Continued Examination;
- ☒ Amendment and Response;
- ☒ Amendment Transmittal;
- ☒ Petition for Extension of Time;
- ☒ PTO Form 2038; and
- ☒ Return Postcard.

CLAIMS AS AMENDED

(1)		(2)		(3)		
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	42	Minus	43	0	x \$ 52	\$
INDEPENDENT CLAIMS	3	Minus	3	0	x \$220	\$
MULTIPLE DEPENDENT CLAIM ADDED					\$390	\$
					TOTAL	\$
If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here.			SMALL ENTITY TOTAL		\$	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the highest number previously paid for Total Claims in column 2 is less than 20, enter "20."

*** If the highest number previously paid for Independent Claims in column 2 is less than 3, enter "3."

The "highest number previously paid for" (total or independent) is the highest number found in the appropriate box in column 1.

☐ Please charge **Deposit Account Number 503596** in the amount of \$_____.☐ Please charge \$_____ to our credit card. Attached is PTO Form 2038.☐ A check in the amount of \$_____ to cover the filing fee is enclosed.☒ Please credit any overpayment and/or charge any additional filing fees required under 37 CFR §§ 1.16 and 1.17 to our **Deposit Account Number 503596**.

Donald W. Muirhead, Reg. No. 33,978

September 13, 2010

Date

Customer No. 54004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 13, 2010.

Sandra Pires